



# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

## GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

NAIC Group Code 04795 , 04795 NAIC Company Code 11092 Employer's ID Number 22-3733783  
(Current Period) (Prior Period)

Organized under the Laws of New York , State of Domicile or Port of Entry New York

Country of Domicile United States

Incorporated/Organized 07/20/1999 Commenced Business 03/01/2003

Statutory Home Office 68 South Service Road, Suite 450 , Melville, NY, US 11747  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 953 American Lane, 3rd Floor Schaumburg, IL, US 60173 847-472-6700  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 953 American Lane, 3rd Floor , Schaumburg, IL, US 60173  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 953 American Lane, 3rd Floor Schaumburg, IL, US 60173 847-700-8603  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address WWW.ATLAS-FIN.COM

Statutory Statement Contact Paul Anthony Romano 847-700-8603  
(Name) (Area Code) (Telephone Number) (Extension)

promano@atlas-fin.com 847-264-2716  
(E-Mail Address) (Fax Number)

### OFFICERS

Name	Title	Name	Title
<u>Scott David Wollney</u>	<u>President, CEO</u>	<u>Paul Anthony Romano</u>	<u>VP Finance, CFO, Treasurer</u>
<u>Leslie Patterson DiMaggio</u>	<u>Secretary, VP Operations &amp; IT</u>		

### OTHER OFFICERS

<u>Bruce Wayne Giles</u>	<u>VP Product Development &amp; Underwriting</u>	<u>Joseph Raymond Shugrue</u>	<u>VP Claims</u>

### DIRECTORS OR TRUSTEES

<u>Leslie Patterson DiMaggio</u>	<u>Richard Patrick Dowd</u>	<u>Bruce Wayne Giles</u>	<u>Douglas Karl Goldenbaum</u>
<u>Zenovia Diane Love</u>	<u>Paul Anthony Romano</u>	<u>Michael LaVere Seitz #</u>	<u>Joseph Raymond Shugrue</u>
<u>Scott David Wollney</u>			

State of Illinois

County of Cook ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Scott David Wollney  
President, CEO

Paul Anthony Romano  
VP Finance, CFO, Treasurer

Leslie Patterson DiMaggio  
Secretary, VP Operations & IT

a. Is this an original filing? Yes [X] No [ ]

Subscribed and sworn to before me this 9th day of November, 2018

b. If no:

1. State the amendment number \_\_\_\_\_

2. Date filed \_\_\_\_\_

3. Number of pages attached \_\_\_\_\_

Louis Charouhis, Sr. Staff Accountant  
March 1, 2019

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	33,783,167		33,783,167	40,531,913
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....	1,838,309	543,546	1,294,763	1,900,000
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ ..... encumbrances) .....				
5. Cash (\$ .....1,596,210 ), cash equivalents (\$ .....25,076 ) and short-term investments (\$ ..... ) .....	1,621,286		1,621,286	5,017,775
6. Contract loans (including \$ ..... premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....	1,310,566		1,310,566	1,247,686
9. Receivables for securities .....	250,000		250,000	380,000
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	38,803,328	543,546	38,259,782	49,077,374
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	200,660		200,660	378,974
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	2,589,892		2,589,892	4,317,803
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	10,007,810		10,007,810	9,254,483
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	2,412,550		2,412,550	2,301,536
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	4,491,798		4,491,798	4,460,626
18.2 Net deferred tax asset .....	1,426,094		1,426,094	1,375,950
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	275,827		275,827	154,282
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other-than-invested assets .....	451,038	68,711	382,327	117,316
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	60,658,997	612,257	60,046,740	71,438,344
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	60,658,997	612,257	60,046,740	71,438,344
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501. BALANCES IN NON VOLUNTARY POOLS .....	382,327		382,327	117,316
2502. PREPAID ASSETS .....	68,711	68,711		
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	451,038	68,711	382,327	117,316

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 7,696,924 )	18,259,172	22,331,291
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	4,384,681	5,775,364
4. Commissions payable, contingent commissions and other similar charges	148,615	83,114
5. Other expenses (excluding taxes, licenses and fees)	232,143	340,820
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	101,981	367,661
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 5,678,779 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	16,710,920	20,432,887
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	1,824,120	2,526,416
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	172,048	113,377
15. Remittances and items not allocated	8,297	11,361
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	150,046	3,104,327
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	1,206,626	1,216,285
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	43,198,649	56,302,903
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	43,198,649	56,302,903
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	3,000,000	3,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	4,563,844	4,563,844
35. Unassigned funds (surplus)	9,284,247	7,571,597
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )		
36.2 shares preferred (value included in Line 31 \$ )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	16,848,091	15,135,441
38. Totals (Page 2, Line 28, Col. 3)	60,046,740	71,438,344
<b>DETAILS OF WRITE-INS</b>		
2501. ESCHEAT LIABILITY	390,618	190,097
2502. UNEARNED CEDED COMMISSIONS	670,549	1,022,112
2503. LIABILITIES-NON VOLUNTARY POOLS	145,459	4,076
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,206,626	1,216,285
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)		

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 34,475,203 )	39,852,748	40,140,921	53,992,546
1.2 Assumed (written \$ 857,014 )	563,050	226,794	269,881
1.3 Ceded (written \$ 10,103,164 )	11,464,778	11,430,356	15,405,318
1.4 Net (written \$ 25,229,053 )	28,951,020	28,937,360	38,857,109
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 12,611,611 ):			
2.1 Direct	17,867,977	16,421,441	36,851,859
2.2 Assumed	635,987	289,223	256,307
2.3 Ceded	5,432,869	4,486,793	12,050,291
2.4 Net	13,071,095	12,223,871	25,057,875
3. Loss adjustment expenses incurred	7,241,982	5,972,154	10,655,470
4. Other underwriting expenses incurred	7,017,556	7,190,290	10,820,796
5. Aggregate write-ins for underwriting deductions	151,130	(64,113)	(71,110)
6. Total underwriting deductions (Lines 2 through 5)	27,481,763	25,322,202	46,463,031
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	1,469,257	3,615,158	(7,605,922)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	721,837	674,443	1,122,366
10. Net realized capital gains (losses) less capital gains tax of \$ (9,690)	(36,451)	22,190	28,542
11. Net investment gain (loss) (Lines 9 + 10)	685,386	696,633	1,150,908
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ 16,086 )	(16,086)	(25,338)	(30,096)
13. Finance and service charges not included in premiums		195	185
14. Aggregate write-ins for miscellaneous income	(4,611)	12,497	3,080
15. Total other income (Lines 12 through 14)	(20,697)	(12,646)	(26,831)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,133,946	4,299,145	(6,481,845)
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,133,946	4,299,145	(6,481,845)
19. Federal and foreign income taxes incurred	(21,482)	1,559,439	(1,369,772)
20. Net income (Line 18 minus Line 19)(to Line 22)	2,155,428	2,739,706	(5,112,073)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	15,135,441	20,857,076	20,857,076
22. Net income (from Line 20)	2,155,428	2,739,706	(5,112,073)
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 13,040	49,055	26,325	31,020
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(360,392)	80,741	(214,257)
27. Change in nonadmitted assets	(131,441)	(39,370)	(426,325)
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,712,650	2,807,402	(5,721,635)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	16,848,091	23,664,478	15,135,441
<b>DETAILS OF WRITE-INS</b>			
0501. NON VOLUNTARY POOLS COSTS & CLAD FEES	151,130	(64,113)	(71,110)
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	151,130	(64,113)	(71,110)
1401. MISCELLANEOUS INCOME (EXPENSE)	(4,611)	12,497	3,080
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(4,611)	12,497	3,080
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)			

## CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	25,501,341	28,820,756	38,689,316
2. Net investment income .....	1,010,587	808,103	1,248,206
3. Miscellaneous income .....	(20,697)	(12,646)	(26,831)
4. Total (Lines 1 to 3) .....	26,491,231	29,616,214	39,910,691
5. Benefit and loss related payments .....	17,254,228	15,909,210	19,695,832
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	16,110,207	14,654,355	20,381,763
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....			
10. Total (Lines 5 through 9) .....	33,364,435	30,563,565	40,077,595
11. Net cash from operations (Line 4 minus Line 10) .....	(6,873,204)	(947,351)	(166,904)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	11,335,745	5,013,799	8,491,378
12.2 Stocks .....			
12.3 Mortgage loans .....	61,691		
12.4 Real estate .....			
12.5 Other invested assets .....		357,803	357,803
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....	130,000		
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	11,527,436	5,371,602	8,849,181
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	4,744,360	5,898,131	9,712,196
13.2 Stocks .....			
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			380,000
13.6 Miscellaneous applications .....			
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	4,744,360	5,898,131	10,092,196
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	6,783,076	(526,529)	(1,243,015)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied).....	(3,306,361)	686,900	2,826,701
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(3,306,361)	686,900	2,826,701
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(3,396,489)	(786,980)	1,416,782
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	5,017,775	3,600,993	3,600,993
19.2 End of period (Line 18 plus Line 19.1) .....	1,621,286	2,814,013	5,017,775

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [X] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
A new insurance agency, optOn Insurance Agency Inc. was formed. The agency is 100% owned by UBI Holdings Inc.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....0001539894
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] NA [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ....12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....06/01/2018
- 6.4 By what department or departments?  
NEW YORK.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [X] No [ ] NA [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] NA [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]
- 7.2 If yes, give full information:  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [ ] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

.....

- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

.....

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No

11.2 If yes, give full and complete information relating thereto:

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 1,310,566

13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ ..... 1,900,000	\$ ..... 1,294,763
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ ..... 1,900,000	\$ ..... 1,294,763
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ ..... 1,900,000	\$ ..... 1,294,763

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No

If no, attach a description with this statement.

## GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ .....
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ .....
- 16.3 Total payable for securities lending reported on the liability page \$ .....

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
FIFTH THIRD BANK.....	5001 KINGSLEY DRIVE MAILDROP 1MOBDJ, CINCINNATI, OH 45227.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
ASSET ALLOCATION & MANAGEMENT COMPANY, LLC.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [ X ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [ X ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109875.....	ASSET ALLOCATION & MANAGEMENT COMPANY, LLC.....		SECURITIES EXCHANGE COMMISSION.....	DS.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

.....

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities?..... Yes [ ] No [X]



**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
<p><b>NONE</b></p>						

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

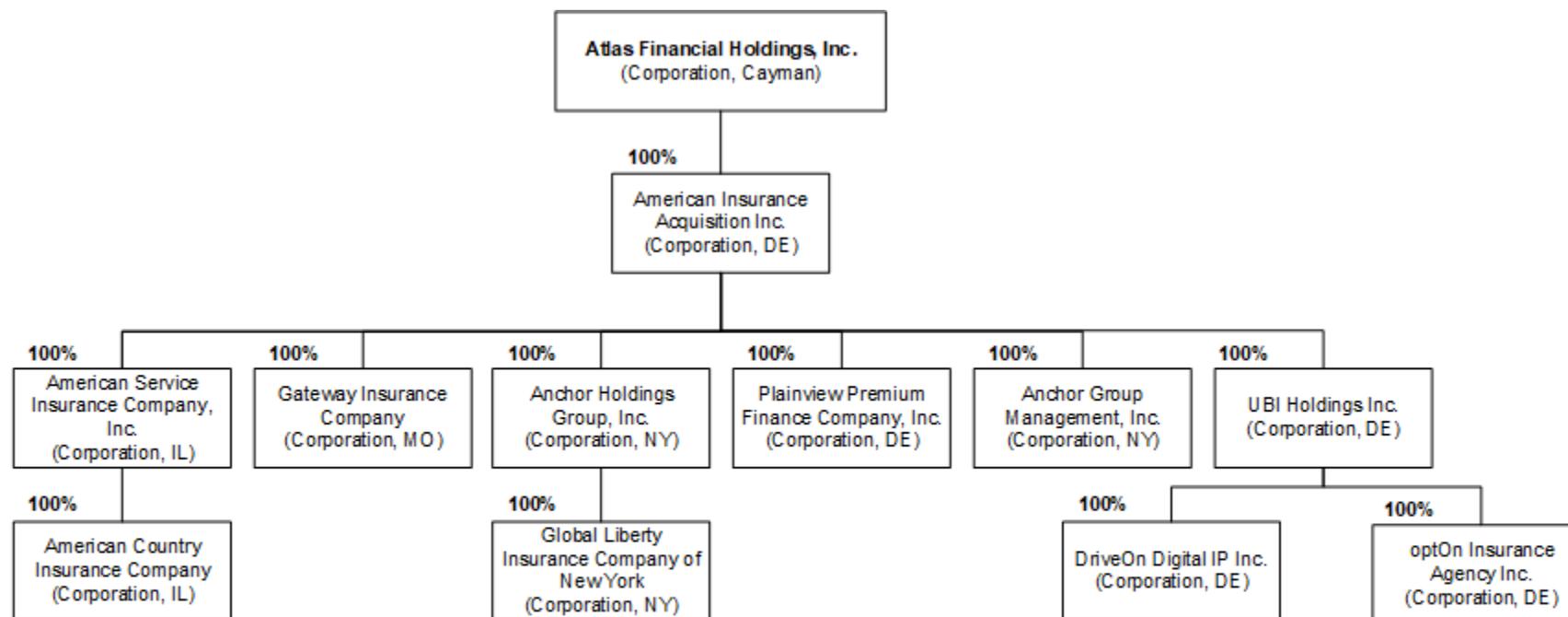
**Current Year to Date – Allocated by States and Territories**

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	N					
2. Alaska	AK	N					
3. Arizona	AZ	L	(1,697)	128,500	336,000	(128,643)	147,432
4. Arkansas	AR	N					
5. California	CA	L	1,379,383	6,350,041	5,189,288	3,902,309	1,771,029
6. Colorado	CO	N					
7. Connecticut	CT	L	2,442,371	2,798,232	1,009,475	705,760	1,625,203
8. Delaware	DE	L	92,254	96,582	22,872	15,089	43,873
9. Dist. Columbia	DC	L	30,238	54,613	75,026	46,428	49,112
10. Florida	FL	L	1,951,204	2,780,332	2,516,888	1,153,594	1,008,869
11. Georgia	GA	L		2,341	1,852	43,913	6,983
12. Hawaii	HI	N					
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	N					
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	N					
21. Maryland	MD	L	93,581	221,776	137,368	300,921	119,090
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	N					
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	L					
30. New Hampshire	NH	N					
31. New Jersey	NJ	L	6,028,396	4,116,925	1,367,700	600,299	2,595,134
32. New Mexico	NM	N					
33. New York	NY	L	21,803,776	25,094,030	15,487,521	13,609,069	15,424,353
34. No. Carolina	NC	N					
35. No. Dakota	ND	N					
36. Ohio	OH	N					
37. Oklahoma	OK	N					
38. Oregon	OR	N					
39. Pennsylvania	PA	L	3,494			1,043	
40. Rhode Island	RI	N					
41. So. Carolina	SC	N					
42. So. Dakota	SD	N					
43. Tennessee	TN	N					
44. Texas	TX	L	27,363	252,680	251,882	453,988	97,184
45. Utah	UT	N					
46. Vermont	VT	N					
47. Virginia	VA	L	623,143	696,345	271,419	557,017	440,045
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	N					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien	OT	XXX					
59. Totals	XXX		34,475,203	42,462,199	26,459,791	21,724,386	23,053,275
58001. DETAILS OF WRITE-INS	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX						
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX						

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG ..... 14 R – Registered – Non-domiciled RRGs .....  
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI) ..... Q – Qualified – Qualified or accredited reinsurer .....  
 D – Domestic Surplus Lines Insurer (DSLII) – Reporting entities authorized to write surplus lines in the state of domicile ..... N – None of the above – Not allowed to write business in the state ..... 43

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**



**Atlas Ownership Percentages**

Ariel Investments, LLC (Control Waived)	13.6%
Public Shareholders Holding Less Than 10% Each	86.4%
<b>Total</b>	<b>100%</b>



**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	616	205	33.3	39.0
2. Allied lines				
3. Farmowners multiple peril				
4. Homeowners multiple peril	48,736	16,548	34.0	31.8
5. Commercial multiple peril		8,072		
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine				
10. Financial guaranty				
11.1 Medical professional liability -occurrence				
11.2 Medical professional liability -claims made				
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health				
16. Workers' compensation		(1,436)		
17.1 Other liability occurrence	61,004	(55,298)	(90.6)	(9.5)
17.2 Other liability-claims made				
17.3 Excess Workers' Compensation				
18.1 Products liability-occurrence				
18.2 Products liability-claims made				
19.1,19.2 Private passenger auto liability				
19.3,19.4 Commercial auto liability	36,159,476	15,340,955	42.4	38.4
21. Auto physical damage	3,582,916	2,558,931	71.4	66.7
22. Aircraft (all perils)				
23. Fidelity				
24. Surety				
26. Burglary and theft				
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business				
35. TOTALS	39,852,748	17,867,977	44.8	40.9
<b>DETAILS OF WRITE-INS</b>				
3401.				
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page				
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)				

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	41	509	1,322
2. Allied lines			
3. Farmowners multiple peril			
4. Homeowners multiple peril	11,869	38,310	66,112
5. Commercial multiple peril			
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine			
10. Financial guaranty			
11.1 Medical professional liability-occurrence			
11.2 Medical professional liability-claims made			
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health			
16. Workers' compensation			
17.1 Other liability occurrence	18,169	35,317	62,164
17.2 Other liability-claims made			
17.3 Excess Workers' Compensation			
18.1 Products liability-occurrence			
18.2 Products liability-claims made			
19.1,19.2 Private passenger auto liability			
19.3,19.4 Commercial auto liability	7,835,491	31,480,417	38,534,756
21. Auto physical damage	849,974	2,920,650	3,797,845
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty			
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business			
35. TOTALS	8,715,544	34,475,203	42,462,199
<b>DETAILS OF WRITE-INS</b>			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page			
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)			

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2015 + Prior .....	3,344	5,130	8,474	5,330	674	6,004	2,170	173	219	2,562	4,156	(4,064)	.92
2. 2016 .....	2,155	4,723	6,878	3,294	404	3,698	1,769	230	1,177	3,176	2,908	(2,912)	(4)
3. Subtotals 2016 + prior .....	5,499	9,853	15,352	8,624	1,078	9,702	3,939	403	1,396	5,738	7,064	(6,976)	.88
4. 2017 .....	4,627	8,128	12,755	5,401	1,345	6,746	3,650	757	2,153	6,560	4,424	(3,873)	551
5. Subtotals 2017 + prior .....	10,126	17,981	28,107	14,025	2,423	16,448	7,589	1,160	3,549	12,298	11,488	(10,849)	639
6. 2018 .....	XXX	XXX	XXX	XXX	9,328	9,328	XXX	2,648	7,696	10,344	XXX	XXX	XXX
7. Totals .....	10,126	17,981	28,107	14,025	11,751	25,776	7,589	3,808	11,245	22,642	11,488	(10,849)	639
8. Prior Year-End Surplus As Regards Policy-holders	15,135												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 113.5	2. (60.3)	3. 2.3
													Col. 13, Line 7 Line 8
													4. 4.2

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	.....NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	.....NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	.....NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	.....NO.....

**Explanation:**

- 1.
- 2.
- 3.
- 4.

**Bar Code:**

1.	 1 1 0 9 2 2 0 1 8 4 9 0 0 0 0 0 3
2.	 1 1 0 9 2 2 0 1 8 4 5 5 0 0 0 0 3
3.	 1 1 0 9 2 2 0 1 8 3 6 5 0 0 0 0 3
4.	 1 1 0 9 2 2 0 1 8 5 0 5 0 0 0 0 3

**OVERFLOW PAGE FOR WRITE-INS**

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**SCHEDULE A – VERIFICATION****Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE****SCHEDULE B – VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	1,900,000	1,900,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	61,691	
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	1,838,309	1,900,000
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	1,838,309	1,900,000
14. Deduct total nonadmitted amounts .....	543,546	
15. Statement value at end of current period (Line 13 minus Line 14)	1,294,763	1,900,000

**SCHEDULE BA – VERIFICATION****Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,247,686	1,599,039
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	62,880	6,450
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		357,803
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8+9-10) .....	1,310,566	1,247,686
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	1,310,566	1,247,686

**SCHEDULE D – VERIFICATION****Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	40,531,913	39,401,605
2. Cost of bonds and stocks acquired .....	4,744,360	9,712,196
3. Accrual of discount .....	9,911	11,810
4. Unrealized valuation increase (decrease) .....	(784)	61,559
5. Total gain (loss) on disposals .....	(46,141)	43,911
6. Deduct consideration for bonds and stocks disposed of .....	11,344,495	8,491,378
7. Deduct amortization of premium .....	120,347	207,789
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	8,750	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	33,783,167	40,531,913
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	33,783,167	40,531,913

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**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	31,973,339		3,690,588	139,195	33,830,718	31,973,339	28,421,946	32,532,135
2. NAIC 2 (a).....	7,244,120		1,927,369	(174,280)	7,153,894	7,244,120	5,142,471	7,469,456
3. NAIC 3 (a).....					298,920			304,073
4. NAIC 4 (a).....	229,470			(10,720)		229,470	218,750	
5. NAIC 5 (a).....					207,500			226,250
6. NAIC 6 (a).....								
7. Total Bonds	39,446,929		5,617,957	(45,805)	41,491,032	39,446,929	33,783,167	40,531,913
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock.....								
15. Total Bonds & Preferred Stock	39,446,929		5,617,957	(45,805)	41,491,032	39,446,929	33,783,167	40,531,913

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ;  
NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

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## SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999		XXX			

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....		25,049
2. Cost of short-term investments acquired .....		
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		25,049
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....		
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**

**SCHEDULE E – PART 2 – VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	27,266	
2. Cost of cash equivalents acquired .....	2,337	5,171,870
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals .....	4,527	5,144,604
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	25,076	27,266
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	25,076	27,266

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**SCHEDULE A - PART 2**

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>NONE</b>								
0399999 Totals								

**SCHEDULE A - PART 3**

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Changes in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain(Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A. C.V. (11-9-10)	13 Total Foreign Exchange Change in B./A. C. V.							
<b>NONE</b>																			
0399999 Totals																			

E01

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						
<b>NONE</b>								
3399999 Totals								

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization)/ Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
<b>Mortgages with partial repayments</b>																	
	Schaumburg	IL	S	11/10/2016		1,900,000								61,691	61,691		
0299999 - Mortgages with partial repayments						1,900,000								61,691	61,691		
0599999 Totals																	

E02

Schedule BA - Part 2

**NONE**

Schedule BA - Part 3

**NONE**

Schedule D - Part 3

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Governments</b>																					
912828-RH-5	UNITED STATES TREASURY		09/30/2018	Maturity @ 100.00	XXX	250,000	250,000	251,367	250,213		(213)		(213)		250,000				1,719	09/30/2018	1
912828-VQ-0	UNITED STATES TREASURY		07/31/2018	Maturity @ 100.00	XXX	200,000	200,000	199,656	199,959		41		41		200,000				2,750	07/31/2018	1
<b>0599999 - Bonds - U.S. Governments</b>						450,000	450,000	451,023	450,171		(171)		(171)		450,000				4,469	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>																					
3129W5-ED-8	FH 603432 - RMBS		08/01/2018	VARIOUS	XXX	7,693	7,328	7,580	7,580		4		4		7,584		109	109	256	11/01/2037	1
3137B8-Z3-4	FHR 4316B DA - CMO/RMBS		09/01/2018	Paydown	XXX	54,023	54,023	55,914	55,640		(1,617)		(1,617)		54,023				947	02/15/2039	1
3137BC-QZ-4	FHR 4362 V - CMO/RMBS		09/01/2018	Paydown	XXX	7,510	7,510	7,807	7,764		(254)		(254)		7,510				132	07/15/2029	1
3138A7-4D-7	FN AH6219 - RMBS		09/01/2018	Paydown	XXX	5,480	5,480	5,685	5,658		(178)		(178)		5,480				129	03/01/2041	1
3138A8-SR-8	FN AH6827 - RMBS		09/01/2018	Paydown	XXX	3,773	3,773	3,930	3,871		(98)		(98)		3,773				90	03/01/2026	1
3138WE-4S-5	FN AS5332 - RMBS		09/01/2018	Paydown	XXX	26,643	26,643	28,749	28,745		(2,102)		(2,102)		26,643				627	07/01/2045	1
31402R-FV-6	FN 735580 - RMBS		08/01/2018	VARIOUS	XXX	9,947	9,593	9,493	9,479		(2)		(2)		9,477		469	469	304	06/01/2035	1
31403C-6L-0	FN 745275 - RMBS		09/01/2018	Paydown	XXX	270	270	284	284		(14)		(14)		270				8	02/01/2036	1
31410K-JY-1	FN 889579 - RMBS		08/01/2018	VARIOUS	XXX	25,995	24,552	26,140	26,194		25		25		26,219		(224)	(224)	939	05/01/2038	1
31410K-NN-0	FN 889697 - RMBS		09/01/2018	VARIOUS	XXX	2,644	2,435	2,486	2,472		(2)		(2)		2,472		173	173	111	07/01/2038	1
31410K-XK-5	FN 889982 - RMBS		09/01/2018	Paydown	XXX	57	57	60	59		(2)		(2)		57				2	11/01/2038	1
31412N-JQ-0	FN 930071 - RMBS		09/25/2018	VARIOUS	XXX	1,072	984	1,002	995		(1)		(1)		995		78	78	51	10/01/2038	1
31415P-MH-8	FN 985160 - RMBS		08/01/2018	VARIOUS	XXX	3,821	3,710	3,831	3,801		(3)		(3)		3,798		22	22	140	09/01/2038	1
31416B-K7-2	FN 995018 - RMBS		09/01/2018	Paydown	XXX	14	13	14	14						14					06/01/2038	1
31416B-MS-4	FN 995069 - RMBS		08/01/2018	VARIOUS	XXX	2,361	2,240	2,279	2,265		3		3		2,265		95	95	85	10/01/2038	1
31416C-JV-9	FN 995876 - RMBS		08/01/2018	VARIOUS	XXX	2,915	2,764	2,913	2,885		3		3		2,888		27	27	106	11/01/2038	1
31418R-P7-0	FN AD4045 - RMBS		09/01/2018	Paydown	XXX	3,836	3,836	4,097	4,094		(258)		(258)		3,836				109	04/01/2040	1
31418W-PP-9	FN AD8529 - RMBS		09/19/2018	VARIOUS	XXX	72,991	70,692	72,216	72,065		31		31		72,095		896	896	2,563	08/01/2040	1
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						231,045	225,903	234,479	233,866		(4,467)		(4,467)		229,399		1,645	1,645	6,600	XXX	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																					
00841Y-AH-1	ABMT 153 A8 - CMO/RMBS		09/01/2018	Paydown	XXX	4,452	4,452	4,540	4,519		(66)		(66)		4,452				85	04/25/2045	1FM
00842A-AD-1	ABMT 154 A4 - CMO/RMBS		09/01/2018	Paydown	XXX	5,646	5,646	5,660	5,659		(13)		(13)		5,646				119	06/26/2045	1FM
AMERICAN HONDA FINANCE																					
02665W-AC-5	CORP		09/18/2018	MARKETAXESS	XXX	249,970	250,000	249,035	249,845		144		144		249,989		(19)	(19)	5,017	10/10/2018	1FE
037411-AZ-8	APACHE CORP		08/24/2018	TENDER	XXX	15,512	16,000	16,720	16,328		(50)		(50)		16,278		(766)	(766)	446	04/15/2022	2FE
05522R-CY-2	BACCT 181 A - ABS		09/19/2018	INTL FCStone L.P	XXX	806,595	815,000	814,888		23		23		814,911		(8,315)	(8,315)	12,714	07/17/2023	1FE	
CSMC 140AK1 1A1 -																					
12649G-AA-4	CMO/RMBS		09/01/2018	Paydown	XXX	16,639	16,639	16,681	16,681		(42)		(42)		16,639				253	11/26/2029	1FM
126650-BW-9	CYS CAREMARK CORP		09/17/2018	CITIBANK, N.A.	XXX	233,418	230,000	235,481	232,031		(442)		(442)		231,589		1,829	1,829	8,012	05/15/2021	2FE
CAPITAL ONE FINANCIAL																					
14040H-AY-1	CORP		09/18/2018	MARKETAXESS	XXX	264,254	255,000	271,858	263,292		(1,602)		(1,602)		261,690		2,564	2,564	14,299	07/15/2021	2FE
15200M-AB-3	CNP 3 A2 - ABS		08/01/2018	Paydown	XXX	66,430	66,430	68,072	66,430		(1,643)		(1,643)		66,430				12,661	02/01/2023	1FE
17120C-AC-6	CCART 16A A3 - ABS		09/15/2018	Paydown	XXX	167,060	167,060	167,038	167,055		6		6		167,060				1,979	10/15/2020	1FE
17322G-AA-7	CMILT 14A A1 - CMO/RMBS		09/01/2018	Paydown	XXX	8,391	8,391	8,718	8,660		(269)		(269)		8,391				199	01/25/2035	1FM
26884A-AY-9	ERP OPERATING LP		09/17/2018	GOLDMAN SACHS & CO, INC	XXX	153,368	150,000	156,114	151,873		(566)		(566)		151,307		2,060	2,060	5,423	07/15/2020	1FE
316773-CP-3	FIFTH THIRD BANCORP		09/18/2018	MORGAN STANLEY & CO LLC	XXX	252,753	250,000	254,365	253,024		(330)		(330)		252,694		59	59	12,661	01/16/2024	2FE
40428H-PB-2	HSBC USA INC (NEW)		09/17/2018	MARKETAXESS	XXX	153,965	150,000	146,019	148,676		327		327		149,002		4,962	4,962	7,333	09/27/2020	1FE
446150-AH-7	HUNTINGTON BANCSHARES INC		07/02/2018	Call @ 100.00	XXX	165,000	165,000	167,356	165,398		(398)		(398)		165,000				3,933	08/02/2018	1FE
46648U-AE-4	JPMIT 174 A5 - CMO/RMBS		09/01/2018	Paydown	XXX	11,293	11,293	11,510	11,511		(218)		(218)		11,293				2,930	11/25/2048	1FM
47786C-AC-6	JDOT 2018 A3 - ABS		09/19/2018	INTL FCStone L.P	XXX	555,538	560,000	559,960		10		10		559,970		(4,433)	(4,433)	8,317	04/18/2022	1FE	
50180L-AC-4	LBUS 08C1 A2 - CMBS		07/17/2018	Paydown	XXX	48	47	53	48		(1)		(1)		48				11	04/15/2041	1FM
MARSH & MCLENNAN																					
571748-AW-2	COMPANIES INC.		09/18/2018	MORGAN STANLEY & CO LLC	XXX	348,278	350,000	356,699	352,564		(1,132)		(1,132)		351,431		(3,153)	(3,153)	8,453	09/10/2019	1FE
64829E-AA-2	NR2T 152 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	6,858	6,858	7,043	7,038		(180)		(180)		6,858				154	08/25/2055	1FM
NORFOLK SOUTHERN																					
655844-AQ-1	CORPORATION		09/17/2018	MARKETAXESS	XXX	326,810	256,000	341,834	328,425		(2,984)		(2,984)		325,441		1,368	1,368	20,313	02/15/2031	2FE
81733Y-AA-7	SEMT 152 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	13,035	13,035	13,337	13,324		(289)		(289)		13,035				248	05/25/2045	1FM
81746M-AA-8	SEMT 154 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	14,069	14,069	14,260	14,230		(161)		(161)		14,069				241	11/25/2030	1FM
88161D-AA-9	TESLA 18A A - ABS		09/20/2018	Paydown	XXX	25,657	25,657	25,657		5		5		25,657				277	12/20/2019	1FE	
883203-BV-2	TEXTRON INC.		09/20/2018	MARKETAXESS	XXX	245,633	250,000	249,758	249,822		16		16		249,838		(4,206)	(4,206)	10,306	03/01/2025	2FE
TOTAL CAPITAL																					
89153V-AN-9	INTERNATIONAL SA		09/18/2018	MILLENNIUM ADVISORS, LLC	XXX	230,678	230,000	230,000	230,000					230,000		678	678	4,205	06/19/2019	1FE	
VERIZON COMMUNICATIONS																					
92343V-DR-2	INC.		09/12/2018	GOLDMAN SACHS & CO, INC	XXX	342,814	341,000	335,389	335,565		104		104		335,669		7,145	7,145	16,363	03/15/2039	2FE
96332H-CD-9	WHIRLPOOL CORP		09/17/2018	MILLENNIUM ADVISORS, LLC	XXX	257,580	250,000	259,526	255,202		(1,032)		(1,032)		254,170		3,410	3,410	9,228	06/15/2021	2FE
<b>3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						4,941,741	4,857,578	4,987,566	3,464,088		(10,783)		(10,783)		4,938,558		3,184	3,184	150,820	XXX	XXX
<b>8399997 - Subtotals - Bonds - Part 4</b>						5,622,786	5,533,481	5,673,069	4,148,125		(15,421)		(15,421)		5,617,957		4,829	4,829	161,889	XXX	XXX
<b>8399999 - Subtotals - Bonds</b>						5,622,786	5,533,481	5,673,069	4,148,125</												

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**



