



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017
OF THE CONDITION AND AFFAIRS OF THE

GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

NAIC Group Code	04795	(Current Period)	04795	(Prior Period)	NAIC Company Code	11092	Employer's ID Number	22-3733783
Organized under the Laws of	New York				State of Domicile or Port of Entry	New York		
Country of Domicile	United States							
Incorporated/Organized	07/20/1999				Commenced Business	03/01/2003		
Statutory Home Office	68 South Service Road, Suite 450				Melville, NY, US 11747			
	(Street and Number)				(City or Town, State, Country and Zip Code)			
Main Administrative Office	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173		847-472-6700			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Mail Address	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173					
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)					
Primary Location of Books and Records	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173		847-700-8603			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Internet Web Site Address	WWW.ATLAS-FIN.COM							
Statutory Statement Contact	Paul Anthony Romano				847-700-8603			
	(Name)				(Area Code) (Telephone Number) (Extension)			
	promano@atlas-fin.com				847-264-2716			
	(E-Mail Address)				(Fax Number)			

OFFICERS

Name	Title	Name	Title
Scott David Wollney	President, CEO	Paul Anthony Romano	VP Finance, CFO, Treasurer
Leslie Patterson DiMaggio	Secretary, VP Operations & IT		

OTHER OFFICERS

Bruce Wayne Giles	VP Product Development & Underwriting	Joseph Raymond Shugrue	VP Claims

DIRECTORS OR TRUSTEES

Leslie Patterson DiMaggio	Richard Patrick Dowd	Hossni Elhelbawi	Bruce Wayne Giles
Douglas Karl Goldenbaum	Zenovia Diane Love	Paul Anthony Romano	Joseph Raymond Shugrue
Scott David Wollney			

State ofIllinois.....

County ofCook.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Scott David Wollney President, CEO	Paul Anthony Romano VP Finance, CFO, Treasurer	Leslie Patterson DiMaggio Secretary, VP Operations & IT
Subscribed and sworn to before me this 10th day of November, 2017		a. Is this an original filing? Yes [X] No []
		b. If no: 1. State the amendment number 2. Date filed 3. Number of pages attached
Louis Charouhis, Sr. Staff Accountant March 01, 2019		

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	40,212,924		40,212,924	39,401,605
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens	1,900,000		1,900,000	1,900,000
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$2,788,962), cash equivalents (\$) and short-term investments (\$25,051)	2,814,013		2,814,013	3,600,993
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets	1,232,962		1,232,962	1,599,039
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	46,159,899		46,159,899	46,501,637
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	331,101		331,101	308,835
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,459,362		3,459,362	3,415,475
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	9,869,083		9,869,083	8,237,633
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	2,980,493		2,980,493	2,193,888
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	1,534,835		1,534,835	3,106,223
18.2 Net deferred tax asset	2,117,338		2,117,338	2,050,772
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	238,026	93,861	144,165	111,599
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	66,690,138	93,861	66,596,276	65,926,062
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	66,690,138	93,861	66,596,276	65,926,062
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. BALANCES IN NON VOLUNTARY POOLS	144,165		144,165	111,599
2502. PREPAID ASSETS	93,861	93,861		
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	238,026	93,861	144,165	111,599

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$7,023,343)	13,962,866	16,861,600
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	3,695,849	4,795,448
4. Commissions payable, contingent commissions and other similar charges	(209,175)	78,322
5. Other expenses (excluding taxes, licenses and fees)	211,546	214,181
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	289,323	455,615
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$7,009,136 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	20,620,918	18,971,748
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	2,145,734	2,236,170
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	114,760	121,981
15. Remittances and items not allocated	(76,129)	5,019
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	988,239	193,207
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	1,187,867	1,135,694
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	42,931,798	45,068,987
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	42,931,798	45,068,987
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	3,000,000	3,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	4,563,844	4,563,844
35. Unassigned funds (surplus)	16,100,634	13,293,232
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	23,664,478	20,857,076
38. Totals (Page 2, Line 28, Col. 3)	66,596,276	65,926,062
DETAILS OF WRITE-INS		
2501. ESCHEAT LIABILITY.....	149,697	116,824
2502. UNEARNED CEDED COMMISSIONS.....	1,027,097	939,184
2503. LIABILITIES-NON VOLUNTARY POOLS.....	11,073	79,686
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,187,867	1,135,694
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

	1	2	3
	Current Year	Prior Year	Prior Year Ended
	to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 42,462,199)	40,140,921	38,681,782	51,795,488
1.2 Assumed (written \$ 111,612)	226,794	211,431	283,988
1.3 Ceded (written \$ 11,987,282)	11,430,356	10,971,627	14,706,902
1.4 Net (written \$ 30,586,529)	28,937,360	27,921,586	37,372,573
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 12,028,225):			
2.1 Direct	16,421,441	16,205,260	30,488,881
2.2 Assumed	289,223	233,143	330,075
2.3 Ceded	4,486,793	4,170,118	8,369,857
2.4 Net	12,223,871	12,268,284	22,449,099
3. Loss adjustment expenses incurred	5,972,154	4,236,422	7,292,854
4. Other underwriting expenses incurred	7,190,290	6,638,291	8,718,215
5. Aggregate write-ins for underwriting deductions	(64,113)	25,966	(3,186)
6. Total underwriting deductions (Lines 2 through 5)	25,322,202	23,168,963	38,456,982
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	3,615,158	4,752,623	(1,084,409)
INVESTMENT INCOME			
9. Net investment income earned	674,443	857,848	1,125,310
10. Net realized capital gains (losses) less capital gains tax of \$ 11,949	22,190	125,591	151,816
11. Net investment gain (loss) (Lines 9 + 10)	696,633	983,439	1,277,127
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ 25,338)	(25,338)	(3,285)	(10,060)
13. Finance and service charges not included in premiums	195	1,000	1,240
14. Aggregate write-ins for miscellaneous income	12,497	(25,751)	(3,979)
15. Total other income (Lines 12 through 14)	(12,646)	(28,036)	(12,799)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	4,299,145	5,708,026	179,919
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	4,299,145	5,708,026	179,919
19. Federal and foreign income taxes incurred	1,559,439	2,161,755	229,369
20. Net income (Line 18 minus Line 19)(to Line 22)	2,739,706	3,546,271	(49,450)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	20,857,076	20,483,183	20,483,183
22. Net income (from Line 20)	2,739,706	3,546,271	(49,450)
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 14,175	26,325	30,609	(2,079)
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	80,741	224,746	244,008
27. Change in nonadmitted assets	(39,370)	5,318	31,414
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in		150,000	150,000
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	2,807,402	3,956,944	373,892
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	23,664,478	24,440,127	20,857,076
DETAILS OF WRITE-INS			
0501. NON VOLUNTARY POOLS COSTS & CLAD FEES	(64,113)	25,966	(3,186)
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	(64,113)	25,966	(3,186)
1401. MISCELLANEOUS EXPENSE	12,497	(25,751)	(3,979)
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	12,497	(25,751)	(3,979)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)			

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	28,820,756	26,389,186	33,818,487
2. Net investment income	808,103	1,147,300	1,421,889
3. Miscellaneous income	(12,646)	(28,036)	(12,799)
4. Total (Lines 1 to 3)	29,616,214	27,508,449	35,227,576
5. Benefit and loss related payments	15,909,210	15,604,391	21,040,389
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	14,654,355	11,803,794	15,522,905
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....		2,768,748	3,253,149
10. Total (Lines 5 through 9)	30,563,565	30,176,934	39,816,443
11. Net cash from operations (Line 4 minus Line 10)	(947,351)	(2,668,484)	(4,588,867)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	5,013,799	11,445,132	16,174,835
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	357,803	1,325,520	1,325,520
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	5,371,602	12,770,652	17,500,355
13. Cost of investments acquired (long-term only):			
13.1 Bonds	5,898,131	12,903,245	13,205,449
13.2 Stocks			
13.3 Mortgage loans			1,900,000
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	5,898,131	12,903,245	15,105,449
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(526,529)	(132,593)	2,394,906
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock.....		150,000	150,000
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied).....	686,900	767,832	1,080,224
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	686,900	917,832	1,230,224
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(786,980)	(1,883,245)	(963,736)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,600,993	4,564,728	4,564,728
19.2 End of period (Line 18 plus Line 19.1)	2,814,013	2,681,483	3,600,993

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern:

A. Accounting Practices

The accompanying financial statements of Global Liberty Insurance Company (the Company) have been prepared in conformity with accounting practices prescribed or permitted by the New York Department of Insurance.

Prescribed statutory accounting practices (SAP) include the National Association of Insurance Commissioners’ (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP), as well as state laws, regulations, and general administrative rules. Permitted statutory accounting practices encompass all accounting practices not so prescribed when such practices are approved by the insurance department of the insurer’s state of domicile.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of New York is shown below:

	<u>SSAP #</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2017</u>	<u>2016</u>
<u>NET INCOME</u>					
(1) New York Company state basis (page 4, line 20, columns 1 & 3)				\$ 2,739,706	\$ (49,450)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					—
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					—
(4) NAIC SAP (1-2-3=4)				<u>\$ 2,739,706</u>	<u>\$ (49,450)</u>
<u>SURPLUS</u>					
(5) New York Company state basis (page 3, line 37, columns 1 & 2)				\$ 23,664,478	\$ 20,857,076
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					—
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					—
(8) NAIC SAP (5-6-7=8)				<u>\$ 23,664,478</u>	<u>\$ 20,857,076</u>

C. Accounting Policy

Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative that are valued using the prospective method.

No significant changes to the remainder of the note.

2. Accounting Changes and Corrections of Errors:

No significant changes.

3. Business Combinations and Goodwill:

No significant changes.

4. Discontinued Operations:

No significant changes.

5. Investments:

D. Loan-Backed Securities

- Prepayment assumptions for fixed rate agency mortgage backed securities are determined using Mortgage Industry Advisory Corporations (MAIC) Mortgage Industry Medians (MIMs). MIMs are derived from a semi-monthly dealer consensus survey of long-term prepayment projections. Prepayment assumptions for other loan-backed and structured securities are obtained from an outside vendor using a model/scenario based on the current interest rate and economic environment.
- The Company does not have any loan-backed securities with a recognized other-than-temporary impairment.
- The Company does not have any loan-backed securities with a recognized other-than-temporary impairment.
- All impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss are as follows:

NOTES TO FINANCIAL STATEMENTS

(a) The aggregate amount of unrealized losses:			
	1. Less than 12 Months	\$	16,455
	2. 12 Months or Longer	\$	27,187
(b) The aggregate related fair value of securities with unrealized losses:			
	1. Less than 12 Months	\$	3,438,061
	2. 12 Months or Longer	\$	1,004,282

5. Bonds in an unrealized loss position are regularly reviewed for other-than-temporary declines in value. Factors considered in determining whether a decline is other-than-temporary include the length of time a bond has been in an unrealized loss position and the reasons for the decline in value. Assessments include judgments about an obligor's or guarantor's current and projected financial position, projected ability to service and repay its debt obligations, the existence of, and realizable value for, any collateral backing the obligations, and the macro-economic and micro-economic outlooks for specific industries and issuers. Estimating the future cash flows of loan-backed securities also involves assumptions regarding the underlying collateral such as prepayment rates, default and recovery rates, existence of subordinated classes capable of absorbing losses, and third-party servicing abilities.

E. Repurchase Agreements

The Company does not have any repurchase agreements.

I. Working Capital Finance Investments

The Company does not have any working capital finance investments.

J. Offsetting and Netting of Assets and Liabilities

The Company does not have offsetting or netting to report.

No significant changes to the remainder of the note.

6. Joint Ventures, Partnerships, and Limited Liability Companies:

No significant changes.

7. Investment Income:

No significant changes.

8. Derivative Investments:

No significant changes.

9. Income Taxes:

No significant changes.

10. Information Concerning Parent, Subsidiaries and Affiliates:

No significant changes.

11. Debt:

During the period covered by this statement, the Company had no outstanding debt.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans:

A. Defined Benefit Plan

The Company does not participate in a defined benefit plan.

No significant changes to the remainder of the note.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations:

No significant changes.

14. Liabilities, Contingencies and Assessments:

No significant changes.

15. Leases:

No significant changes.

NOTES TO FINANCIAL STATEMENTS

16. Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk:

No significant changes.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities:

The Company had no transfers of receivables reported as sales, no transfers and servicing of financial assets and no wash sales.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans:

No significant changes.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators:

With the exception of business written in the State of Florida prior to September 1, 2016, and various mandatory state assigned risk pools, all business is written through the Company's sole MGA, Anchor Group Management, Inc., as indicated below.

Name and Adress of Managing General Agent or Third Party Administrator	FEIN Number	Exclusive Contract	Types of Business Written	Type of Authority Granted	Total Direct Premiums Written/Produced By MGA
Anchor Group Management, Inc. 68 S. Service Road Suite 450 Melville, NY 11747	06-1628915	YES	Comm. Auto Liab. & Phys. Damage; Comm. Property & Liability, Homeowners	U, B, P	\$ 42,470,144

20. Fair Value Measurements:

A.

1) Fair Value Measurements at Reporting Date

Description	Level 1	Level 2	Level 3	Total
a. Assets at fair value				
Bond				
Industrial and Misc.		\$ 518,305		\$ 518,305

- 2) The Company does not have any fair value measurements categorized within Level 3.
- 4) The fair values of the Level 2 securities are obtained from independent pricing services or from the Company’s investment manager and are determined using quoted market prices from an orderly market at the reporting date for those or similar investments. If quoted market prices from an orderly market are not available, the fair values are determined by the Company’s investment manager using an income approach valuation technique (present value using the discount rate adjustment technique). The Company does not have any fair value measurements categorized within Level 3.
- 5) The Company does not have derivative assets and liabilities.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Long Term Bonds	\$ 40,510,605	\$ 40,212,924	\$ 9,277,589	\$ 31,233,016		
Short Term Bonds	25,051	25,051	25,051			
Total Bonds	\$ 40,535,656	\$ 40,237,975	\$ 9,302,640	\$ 31,233,016		

D. The Company does not have any assets that are not practicable to estimate fair value as of September 30, 2017.

21. Other Items:

No significant changes.

22. Events Subsequent:

There are no material subsequent events.

23. Reinsurance:

No significant changes.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination:

F. The Company does not transact any accident and health business.

NOTES TO FINANCIAL STATEMENTS

No significant changes to the remainder of the note.

25. Change in Incurred Losses and Loss Adjustment Expenses:

Reserves as of December 31, 2016 were \$21.7 million. As of September 30, 2017, \$13.9 million has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior accident years. Reserves remaining for prior years are now \$8.0 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on commercial auto lines of insurance. Therefore, there has been unfavorable prior-year development of \$0.2 million since December 31, 2016 to September 30, 2017. Any change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements:

No significant changes.

27. Structured Settlements:

No significant changes.

28. Health Care Receivables:

No significant changes.

29. Participating Policies:

No significant changes.

30. Premium Deficiency Reserves:

No significant changes.

31. High Deductibles:

No significant changes.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses:

No significant changes.

33. Asbestos/Environmental Reserves:

No significant changes.

34. Subscriber Savings Accounts:

No significant changes.

35. Multiple Peril Crop Insurance:

No significant changes.

36. Financial Guaranty Insurance:

The Company does not write financial guaranty insurance.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☒ No ☐
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.

The Company's parent formed two new non-insurance legal entities in September 2017
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☐ No ☒ NA ☐

If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

01/14/2014
- 6.4

By what department or departments?

NEW YORK
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ NA ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
.....
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes [] No [X]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
.....
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:\$1,232,962
13.

Amount of real estate and mortgages held in short-term investments:\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

		1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	\$	\$
14.22	Preferred Stock	\$	\$
14.23	Common Stock	\$	\$
14.24	Short-Term Investments	\$	\$
14.25	Mortgage Loans on Real Estate	\$1,900,000	\$1,900,000
14.26	All Other	\$	\$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$1,900,000	\$1,900,000
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$1,900,000	\$1,900,000

- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page
- \$

\$

\$

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
FIFTH THIRD BANK.....	5001 KINGSLEY DRIVE MAILDROOP 1MOB2J, CINCINNATI, OH 45227.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
ASSET ALLOCATION & MANAGEMENT COMPANY, LLC.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity's assets?

Yes [X] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes [X] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109875.....	Asset Allocation & Management Company, LLC.....		Securities Exchange Commission.....	DS.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [X] No []

18.2 If no, list exceptions:
.....

GENERAL INTERROGATORIES
PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] NA [X]
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.
.....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
.....
.....
.....
.....
.....
TOTAL		

5. Operating Percentages:
5.1 A&H loss percent %
5.2 A&H cost containment percent %
5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts?..... Yes [] No [X]
6.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$
6.3 Do you act as an administrator for health savings accounts?..... Yes [] No [X]
6.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$

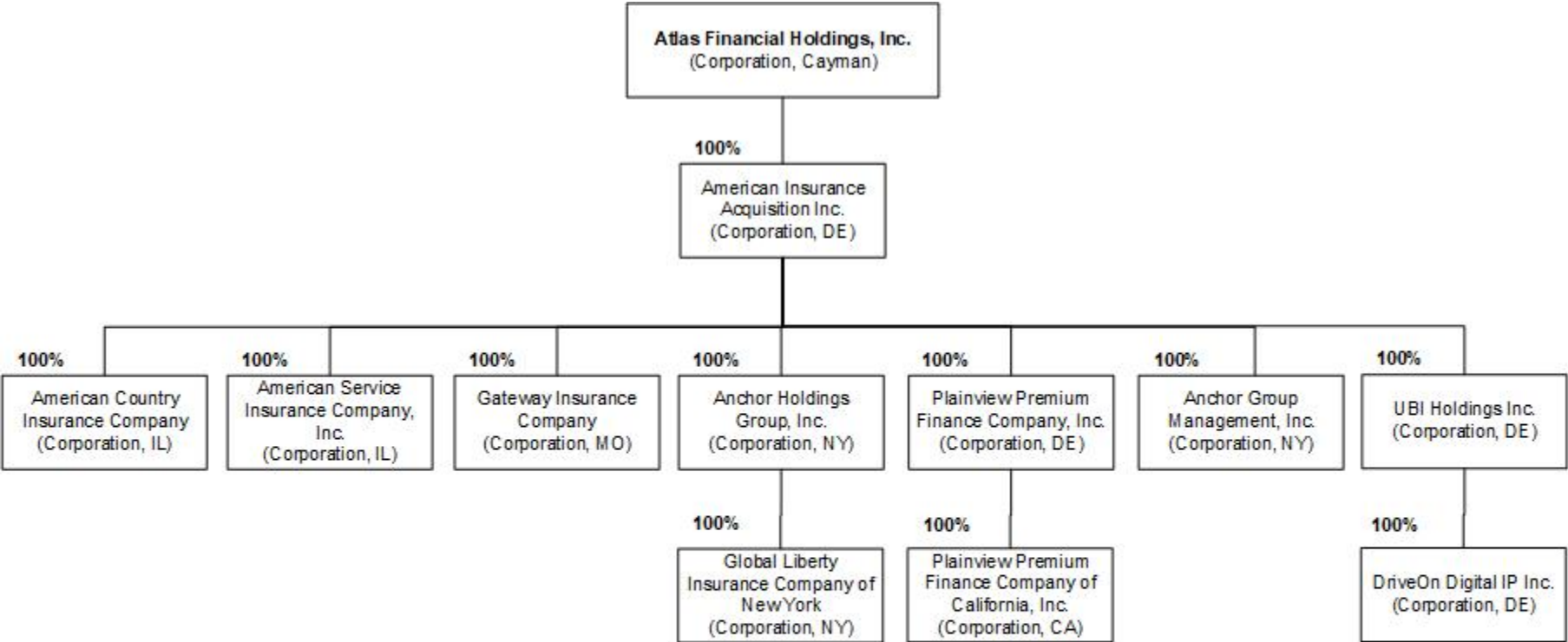
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date – Allocated by States and Territories							
States, etc.	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2	3	4	5	6	7
	Active Status	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date
1. Alabama	AL	N					
2. Alaska	AK	N					
3. Arizona	AZ	L	(1,697)	68,928	336,000	30,492	147,432
4. Arkansas	AR	N					
5. California	CA	L	6,350,041	8,300,843	3,902,309	4,049,332	5,171,111
6. Colorado	CO	N					
7. Connecticut	CT	L	2,798,232	1,529,333	705,760	328,126	1,134,667
8. Delaware	DE	L	96,582	63,021	15,089	38,396	80,731
9. Dist. Columbia	DC	L	54,613	112,570	46,428	10,773	48,936
10. Florida	FL	L	2,780,332	1,895,549	1,153,594	700,587	1,344,454
11. Georgia	GA	L	2,341	40,995	43,913	449,822	63,945
12. Hawaii	HI	N					
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	N					
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	N					
21. Maryland	MD	L	221,776	377,671	300,921	357,755	321,442
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	N					
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	L					
30. New Hampshire	NH	N					
31. New Jersey	NJ	L	4,116,925	1,287,969	600,299	300,266	1,255,410
32. New Mexico	NM	N					
33. New York	NY	L	25,094,030	24,247,157	13,609,069	13,282,848	8,433,885
34. No. Carolina	NC	N					
35. No. Dakota	ND	N					
36. Ohio	OH	N					
37. Oklahoma	OK	N					
38. Oregon	OR	N					
39. Pennsylvania	PA	L					
40. Rhode Island	RI	N					
41. So. Carolina	SC	N					
42. So. Dakota	SD	N					
43. Tennessee	TN	N					
44. Texas	TX	L	252,680	326,187	453,988	436,274	18,902
45. Utah	UT	N					
46. Vermont	VT	N					
47. Virginia	VA	L	696,345	1,062,693	557,017	745,240	672,235
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	N					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien	OT	XXX					
59. Totals	(a) 14	42,462,199	39,312,915	21,724,386	20,729,910	18,693,151	17,747,789
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX						
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile – see DSLI); (D) DSLI – Domestic Surplus Lines Insurer (DSLI) – Reporting entities authorized to write Surplus Lines in the state of domicile; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of D and L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



Atlas Ownership Percentages

Public Shareholders Holding Less Than 10% Each	100%
Total	100%

12

12

12

1212

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire	1,460	570	39.0	43.7
2.	Allied lines				
3.	Farmowners multiple peril				
4.	Homeowners multiple peril	120,736	38,384	31.8	26.7
5.	Commercial multiple peril		(10,833)		
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine				
10.	Financial guaranty				
11.1	Medical professional liability -occurrence				
11.2	Medical professional liability -claims made				
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation		1,610		
17.1	Other liability occurrence	50,174	(4,752)	(9.5)	60.1
17.2	Other liability-claims made				
17.3	Excess Workers' Compensation				
18.1	Products liability-occurrence				
18.2	Products liability-claims made				
19.1,19.2	Private passenger auto liability				
19.3,19.4	Commercial auto liability	36,268,108	13,928,588	38.4	38.2
21.	Auto physical damage	3,700,443	2,467,875	66.7	75.4
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit				
29.	International				
30.	Warranty				
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business				
35.	TOTALS	40,140,921	16,421,441	40.9	41.9
DETAILS OF WRITE-INS					
3401.					
3402.					
3403.					
3498.	Sum. of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire	217	1,322	4,468
2.	Allied lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril	25,887	66,112	238,041
5.	Commercial multiple peril			
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability-occurrence			
11.2	Medical professional liability-claims made			
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation			
17.1	Other liability occurrence	34,901	62,164	59,672
17.2	Other liability-claims made			
17.3	Excess Workers' Compensation			
18.1	Products liability-occurrence			
18.2	Products liability-claims made			
19.1,19.2	Private passenger auto liability			
19.3,19.4	Commercial auto liability	10,357,778	38,534,756	35,232,958
21.	Auto physical damage	1,238,721	3,797,845	3,777,776
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business			
35.	TOTALS	11,657,505	42,462,199	39,312,915
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498.	Sum. of remaining write-ins for Line 34 from overflow page			
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)			

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2017 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2014 + Prior	4,217	3,224	7,441	4,706	456	5,162	2,783	181	(680)	2,283	3,271	(3,267)	4
2. 2015	1,463	2,944	4,408	2,445	144	2,588	1,052	143	638	1,833	2,033	(2,020)	13
3. Subtotals 2015 + prior	5,681	6,168	11,849	7,150	599	7,750	3,834	324	(42)	4,116	5,304	(5,287)	17
4. 2016	3,168	6,641	9,808	4,652	1,497	6,149	1,330	537	2,011	3,878	2,814	(2,595)	219
5. Subtotals 2016 + prior	8,848	12,809	21,657	11,802	2,097	13,899	5,164	861	1,968	7,994	8,118	(7,882)	236
6. 2017	XXX	XXX	XXX	XXX	8,295	8,295	XXX	3,644	6,021	9,664	XXX	XXX	XXX
7. Totals	8,848	12,809	21,657	11,802	10,392	22,194	5,164	4,505	7,989	17,659	8,118	(7,882)	236
8. Prior Year-End Surplus As Regards Policy-holders	20,857										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 91.7	2. (61.5)	3. 1.1
											Col. 13, Line 7 Line 8		
											4.	1.1	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.


	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....

Explanation:

- 1.
- 2.
- 3.
- 4.


Bar Code:

1.




11092201749000003

2.




11092201745500003

3.



11092201736500003

4.



11092201750500003

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	1,900,000	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		1,900,000
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,900,000	1,900,000
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	1,900,000	1,900,000
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	1,900,000	1,900,000

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,599,039	3,003,488
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	(8,274)	(78,929)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	357,803	1,325,520
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,232,962	1,599,039
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	1,232,962	1,599,039

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	39,401,605	42,317,679
2. Cost of bonds and stocks acquired	5,898,131	13,205,449
3. Accrual of discount	8,434	10,758
4. Unrealized valuation increase (decrease)	48,775	75,730
5. Total gain (loss) on disposals	34,139	233,564
6. Deduct consideration for bonds and stocks disposed of	5,013,799	16,174,835
7. Deduct amortization of premium	164,361	266,740
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	40,212,924	39,401,605
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	40,212,924	39,401,605

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	31,616,800	1,032,789	1,431,382	216,371	31,496,836	31,616,800	31,434,578	29,889,301
2. NAIC 2 (a).....	8,449,011	100,142		(264,060)	8,181,945	8,449,011	8,285,092	8,799,043
3. NAIC 3 (a).....	270,350			15,455	291,223	270,350	285,805	275,810
4. NAIC 4 (a).....					250,625			247,500
5. NAIC 5 (a).....	220,625			11,875	240,000	220,625	232,500	215,000
6. NAIC 6 (a).....								
7. Total Bonds	40,556,786	1,132,930	1,431,382	(20,359)	40,460,629	40,556,786	40,237,976	39,426,654
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock.....								
15. Total Bonds & Preferred Stock	40,556,786	1,132,930	1,431,382	(20,359)	40,460,629	40,556,786	40,237,976	39,426,654

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$25,052 ; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	25,051	XXX	25,051	109	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	25,048	25,007
2. Cost of short-term investments acquired	2,208	41
3. Accrual of discount		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	2,204	
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	25,051	25,048
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11)	25,051	25,048

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Real Estate - Unaffiliated																			
000000-00-0	AKA Wheaton LLC	Wheaton	IL	Return of Capital	09/23/2015	04/20/2017	1,599,039	(8,274)				(8,274)			(357,803)				
1799999 - Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Real Estate - Unaffiliated							1,599,039	(8,274)				(8,274)			(357,803)				
4499999 – Subtotals - Unaffiliated							1,599,039	(8,274)				(8,274)			(357,803)				
4599999 – Subtotals - Affiliated																			
4699999 Totals							1,599,039	(8,274)				(8,274)			(357,803)				

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STATEMENT AS OF SEPTEMBER 30, 2017 OF THE GLOBAL LIBERTY INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
3837BB-AS-8...	GNR 11161 A - CMBS		08/01/2017	Paydown		10,636	10,636	10,687	10,656		(20)		(20)		10,636				193	01/16/2034	1
912828-NR-7...	UNITED STATES TREASURY		07/31/2017	Maturity @ 100.0		389,000	389,000	397,093	389,723		(723)		(723)		389,000				9,239	07/31/2017	1
0599999 - Bonds - U.S. Governments						399,636	399,636	407,780	400,378		(743)		(743)		399,636				9,432	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
3128W5-ED-8...	FH G03432 - RMBS		09/01/2017	Paydown		663	663	686	685		(22)		(22)		663				21	11/01/2037	1
3137B8-Z3-4...	FHR 4316B DA - CMO/RMBS		09/01/2017	Paydown		60,916	60,916	63,048	62,842		(1,926)		(1,926)		60,916				1,093	02/15/2039	1
3137BC-QZ-4...	FHR 4382 V - CMO/RMBS		09/01/2017	Paydown		7,289	7,289	7,577	7,557		(268)		(268)		7,289				128	07/15/2029	1
3138A7-4D-7...	FN AH6219 - RMBS		09/01/2017	Paydown		10,950	10,950	11,359	11,321		(371)		(371)		10,950				246	03/01/2041	1
3138A8-SR-8...	FN AH6827 - RMBS		09/01/2017	Paydown		6,788	6,788	7,069	6,976		(189)		(189)		6,788				158	03/01/2026	1
3138WE-4S-5...	FN AS5332 - RMBS		09/01/2017	Paydown		32,238	32,238	34,787	34,830		(2,592)		(2,592)		32,238				779	07/01/2045	1
31402R-FV-6...	FN 735580 - RMBS		09/01/2017	Paydown		644	644	637	637		7		7		644				19	06/01/2035	1
31403C-6L-0...	FN 745275 - RMBS		09/01/2017	Paydown		450	450	473	473		(23)		(23)		450				13	02/01/2036	1
31410K-JY-1...	FN 889579 - RMBS		09/01/2017	Paydown		2,133	2,133	2,271	2,269		(136)		(136)		2,133				76	05/01/2038	1
31410K-NN-0...	FN 889697 - RMBS		09/01/2017	Paydown		293	293	299	297		(4)		(4)		293				10	07/01/2038	1
31410K-XK-5...	FN 889982 - RMBS		09/01/2017	Paydown		92	92	96	95		(3)		(3)		92				3	11/01/2038	1
31412N-JQ-0...	FN 930071 - RMBS		09/01/2017	Paydown		89	89	90	90		(1)		(1)		89				3	10/01/2038	1
31415P-JH-8...	FN 985160 - RMBS		09/01/2017	Paydown		580	580	599	595		(14)		(14)		580				23	09/01/2038	1
31416B-K7-2...	FN 995018 - RMBS		09/01/2017	Paydown		24	24	25	24		(1)		(1)		24				1	06/01/2038	1
31416B-WS-4...	FN 995069 - RMBS		09/01/2017	Paydown		146	146	149	148		(2)		(2)		146				5	10/01/2038	1
31416C-JV-9...	FN 995876 - RMBS		09/01/2017	Paydown		224	224	236	234		(9)		(9)		224				8	11/01/2038	1
31418R-P7-0...	FN AD4045 - RMBS		09/01/2017	Paydown		8,216	8,216	8,776	8,745		(529)		(529)		8,216				245	04/01/2040	1
31418W-PP-9...	FN AD8529 - RMBS		09/01/2017	Paydown		4,352	4,352	4,446	4,437		(84)		(84)		4,352				115	08/01/2040	1
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						136,088	136,088	142,624	142,256		(6,168)		(6,168)		136,088				2,949	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
00841Y-AH-1...	ABMT 153 A8 - CMO/RMBS		09/01/2017	Paydown		2,259	2,259	2,303	2,292		(34)		(34)		2,259				38	04/25/2045	1FM
00842A-AD-1...	ABMT 154 A4 - CMO/RMBS		09/01/2017	Paydown		4,493	4,493	4,504	4,504		(11)		(11)		4,493				92	06/26/2045	1FM
025816-AX-7...	AMERICAN EXPRESS CO		08/28/2017	Maturity @ 100.0		250,000	250,000	283,760	253,743		(3,743)		(3,743)		250,000				15,375	08/28/2017	1FE
17121F-AC-8...	CCART 15B A3 - ABS		09/15/2017	Paydown		37,452	37,452	37,447	37,449		2		2		37,452				433	03/16/2020	1FE
17322G-AA-7...	CMLT1 14A A1 - CMO/RMBS		09/01/2017	Paydown		15,288	15,288	15,886	15,778		(490)		(490)		15,288				382	01/25/2035	1FM
36962G-3H-5...	GENERAL ELECTRIC CO		09/15/2017	Maturity @ 100.0		250,000	250,000	273,515	252,785		(2,785)		(2,785)		250,000				14,063	09/15/2017	1FE
50116P-AD-2...	KCOT 141 A4 - ABS		09/15/2017	Paydown		97,527	97,527	97,771	97,624		(97)		(97)		97,527				987	07/15/2020	1FE
50180L-AC-4...	LBUBS 08C1 A2 - CMBS		09/11/2017	Paydown		6,831	6,831	7,664	6,972		(141)		(141)		6,831				251	04/15/2041	1FM
64829E-AA-2...	NR2T 152 A1 - CMO/RMBS		09/01/2017	Paydown		9,762	9,762	10,024	10,022		(260)		(260)		9,762				218	08/25/2055	1FM
81733Y-AA-7...	SEMT 152 A1 - CMO/RMBS		09/01/2017	Paydown		18,905	18,905	19,342	19,302		(397)		(397)		18,905				398	05/25/2045	1FM
81746M-AA-8...	SEMT 154 A1 - CMO/RMBS		09/01/2017	Paydown		18,686	18,686	18,940	18,909		(223)		(223)		18,686				326	11/25/2030	1FM
98160V-AD-3...	WOLS 15A A3 - ABS		09/15/2017	Paydown		182,251	182,251	182,215	182,242		9		9		182,251				1,785	10/15/2018	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						893,454	893,454	953,371	901,623		(8,170)		(8,170)		893,454				34,348	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						1,429,177	1,429,178	1,503,774	1,444,258		(15,080)		(15,080)		1,429,177				46,729	XXX	XXX
8399999 - Subtotals - Bonds						1,429,177	1,429,178	1,503,774	1,444,258		(15,080)		(15,080)		1,429,177				46,729	XXX	XXX
9999999 Totals						1,429,177	XXX	1,503,774	1,444,258		(15,080)		(15,080)		1,429,177				46,729	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter							
1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
NONE							
8699999 Total Cash Equivalents							